

JC920 U.S. PTO
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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE+
02/21/02
1046 U.S. PTO
10/081525

**NEW UTILITY
PATENT APPLICATION
TRANSMITTAL**

(only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket Number	16319-05906
First Named Inventor	Michael J. Wissner
Title	Scalable Database Management System
Express Mail Label No.	EL951620674 US

APPLICATION ELEMENTS

1. Fee Transmittal Form (in duplicate)
 2. Applicant claims small entity status.
 See 37 CFR 1.27
 3. Specification Total Pages
 (*preferred arrangement set forth below*)
 Descriptive Title of the Invention
 Cross Reference(s) to Related Case(s)
 Statement Regarding Fed sponsored R & D
 Background of the Invention
 Brief Summary of the Invention
 Brief Description of the Drawing(s)
 Detailed Description
 Claim or Claims
 Abstract of the Disclosure
 4. Drawing(s) (35 U.S.C. 113) Total Sheets
 5. Oath or Declaration
 a. New Declaration Total Pages
 Executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63(d))
 (*for continuation/divisional with Box 18 completed*)
 i. DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).
 6. Application Data Sheet. See 37 CFR 1.76

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
 8. Certified Copy of Priority Document(s) (*if foreign priority
is claimed*)
 9. Power of Attorney or Authorization of Agent
 10. 37 CFR 3.73(b) Statement
 11. Preliminary Amendment
 12. Information Disclosure Statement & PTO-1449
 Copies of IDS Citation(s)
 13. Nonpublication Request under 35 U.S.C. 122
 (b)(2)(B)(i). Applicant must attach form
 PTO/SB/35 or its equivalent
 14. Return Postcard
 15.
 16.
 17.

ADDRESS TO:

Box Patent Application
 Commissioner for Patents
 Washington, D.C. 20231

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner: _____ Group/Art Unit: _____

For **CONTINUATION OR DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS** Customer Number and Bar Code
Label

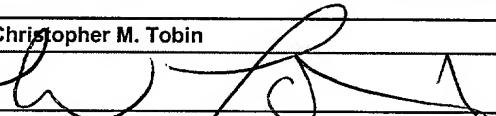
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Name (Print/Type)	Christopher M. Tobin	Registration No. (Attorney/Agent)	40,290
Signature		Date	February 21, 2002

02/21/02
JC928 U.S.
PTO

0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office		Complete if Known	
		Application Number	Unassigned	
		Filing Date	Herewith	
		First Named Inventor	Michael J. Wissner	
		Group Art Unit	Unassigned	
		Examiner Name	Unassigned	
		Attorney Docket Number	16319-05906	
FEE TRANSMITTAL				
TOTAL AMOUNT OF PAYMENT				
Subtotal (1) + Subtotal (2) + Subtotal (3) = \$ 1,304.00				

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																								
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. † <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th><u>Large Entity</u></th> <th><u>Small Entity</u></th> <th></th> </tr> <tr> <th>Fee Code/Fee</th> <th>Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td>[]</td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td>[]</td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td>[]</td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month†</td> <td>[]</td> </tr> <tr> <td>116/\$400</td> <td>216/\$200</td> <td>Extension for response within second month†</td> <td>[]</td> </tr> <tr> <td>117/\$920</td> <td>217/\$460</td> <td>Extension for response within third month†</td> <td>[]</td> </tr> <tr> <td>118/\$1,440</td> <td>218/\$720</td> <td>Extension for response within fourth month†</td> <td>[]</td> </tr> <tr> <td>128/\$1,960</td> <td>228/\$980</td> <td>Extension for response within fifth month†</td> <td>[]</td> </tr> <tr> <td>119/\$320</td> <td>219/\$160</td> <td>Notice of Appeal</td> <td>[]</td> </tr> <tr> <td>141/\$1,280</td> <td>241/\$640</td> <td>Petition to revive unintentionally abandoned application</td> <td>[]</td> </tr> <tr> <td>142/\$1,280</td> <td>242/\$640</td> <td>Utility Issue Fee (Or Reissue)</td> <td>[]</td> </tr> <tr> <td>143/\$460</td> <td>243/\$230</td> <td>Design Issue Fee</td> <td>[]</td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td>[]</td> </tr> <tr> <td>126/\$180</td> <td>126/\$180</td> <td>Submission of Information Disclosure Statement</td> <td>[]</td> </tr> <tr> <td>179/\$740</td> <td>279/\$370</td> <td>Request for Continued Examination (RCE)</td> <td>[]</td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>[]</td> </tr> <tr> <td>146/\$740</td> <td>246/\$370</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td>[]</td> </tr> <tr> <td>149/\$740</td> <td>249/\$370</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td>[]</td> </tr> <tr> <td colspan="2"></td> <td>Other fee (specify):</td> <td colspan="2">[]</td> </tr> <tr> <td colspan="2"></td> <td>Other fee (specify):</td> <td colspan="2">[]</td> </tr> <tr> <td colspan="2"></td> <td style="text-align: right;">SUBTOTAL (3) \$</td> <td colspan="2">[]</td> </tr> <tr> <td colspan="2"></td> <td colspan="3"> <table border="1"> <thead> <tr> <th>(Col. 1)</th> <th>(Col. 2)</th> <th>(Col. 3)</th> <th></th> <th></th> </tr> <tr> <th>For</th> <th>No. of Existing Claims</th> <th>Highest No. Previously Paid For</th> <th>Extra**</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td>42</td> <td>20 or</td> <td>= 22</td> <td>x 18 = 396</td> </tr> <tr> <td>INDEP</td> <td>5</td> <td>minus* 3 or</td> <td>= 2</td> <td>x 84 = 168</td> </tr> <tr> <td colspan="5">[] First presentation of multiple dependent claim</td> </tr> </tbody> </table> </td> </tr> <tr> <td colspan="2"></td> <td colspan="3" style="text-align: right;">SUBTOTAL (2) \$ 564.00</td> </tr> <tr> <td colspan="2"></td> <td colspan="3"> <small>* Subtract the greater number of Col. 2 ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3</small> </td> </tr> </tbody> </table>			<u>Large Entity</u>	<u>Small Entity</u>		Fee Code/Fee	Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - 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SUBMITTED BY:		Complete (if applicable)	
Typed or Printed Name	Christopher M. Tobin	Reg. Number	40,290
Signature			Date
		February 21, 2002	

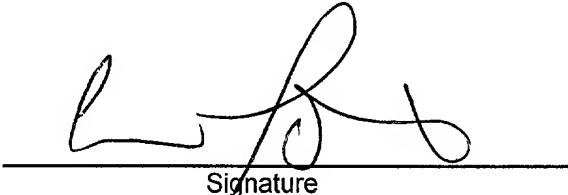
† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor		Michael J. Wissner
Title	Scalable Database Management System	
Atty Docket Number	16319-05906	

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 21, 2002
Date



Signature

Christopher M. Tobin/40,290
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.